

# Finnish word order

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# The problem

- *'Jari gave a book to Merja.'*
  - Jari antoi kirjan Merjalle
  - Merjalle antoi kirjan Jari
  - Antoi Jari kirjan Merjalle
  - Antoi Merjalle kirjan Jari
  - Jari kirjan antoi Merjalle
  - Jari Merjalle kirjan antoi
  - Kirjan Merjalle antoi Jari
- Kirjan antoi Jari Merjalle
  - Merjalle antoi Jari kirjan
  - Antoi kirjan Jari Merjalle
  - Antoi Merjalle Jari kirjan
  - Kirjan Jari antoi Merjalle
  - Jari Merjalle antoi kirjan
  - Kirjan Merjalle Jari antoi

## **Nonconfigurationality hypothesis?**

Finnish word order is like Hungarian, but  
without (any evidence of) nonconfigurationality

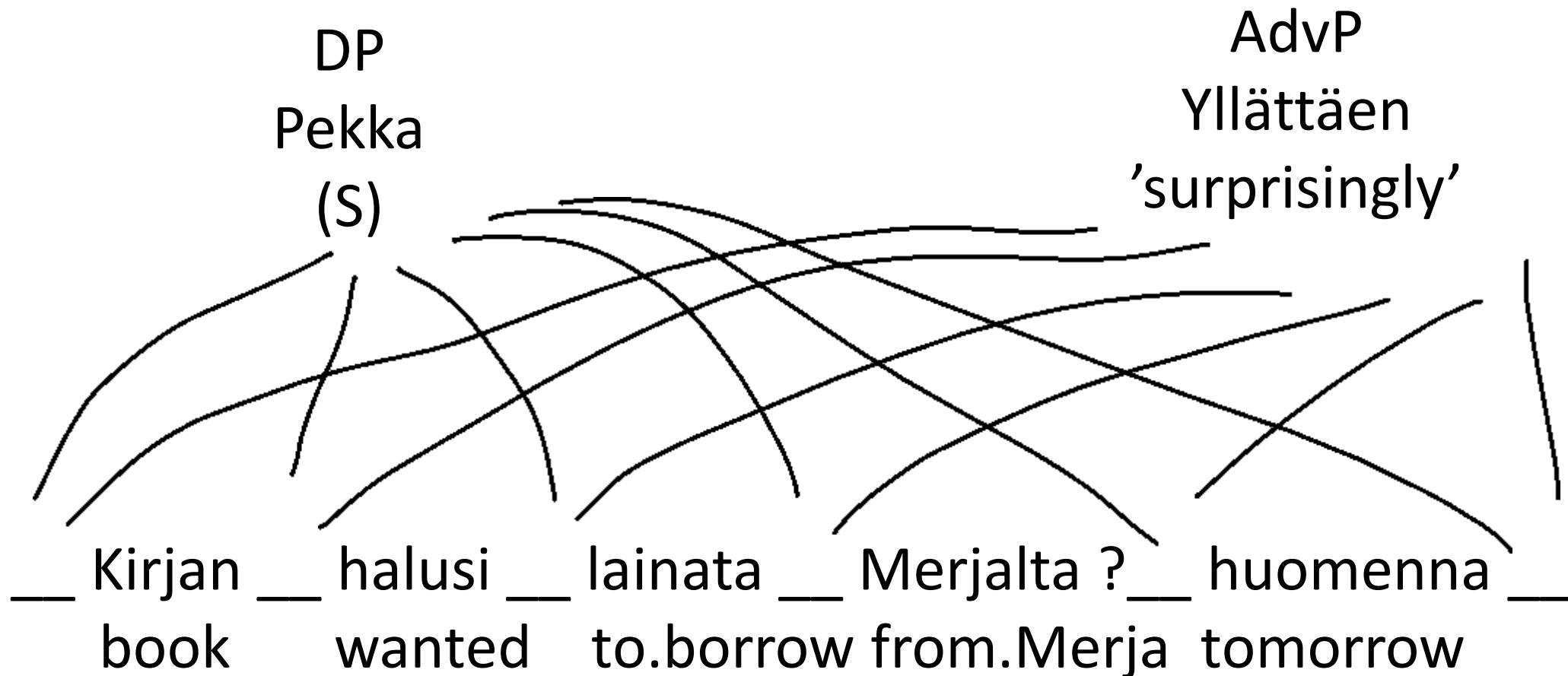
## Movement hypothesis?

The word order is “too free” to be all captured by movement (compare Hungarian postverbal syntax).  
Something else is (also) going on.

Chomsky 1995: 4.7.3

## **Adjunction hypothesis?**

In addition to standard movement, in Finnish thematic arguments can be adjoined to the sentence (i.e. they are syntactically adverbials)



⇒ We add this option, "argument floating," to the standard Merge/Move toolkit. The operation is licensed by rich case feature (e.g., NOM) of the argument. English etc does not have this option.

# What the properties of argument floating are? My first research agenda failed

- I took various types of finite seed clauses, generated all word orders (119,800 sentences) and started reading them to find out what the ordering principles could be
- Problems:
  - Many theoretically interesting word orders could not be judged clearly
    - Statistics from one representative corpus:
    - 75% word orders ungrammatical
    - 20% grammatical but marginal
    - 5% clean
  - Marginality is not irregular or random!

# Examples:

*?? Heidän antaa kirjan Merjalle käski Pekka*  
They.gen to.give book to.Merja ordered Pekka  
'Pekka ordered them to give a book to Merja.'

*?? Merjalle heidän käski antaa Pekka kirjan*  
to.Merja they.gen ordered to.give Pekka book  
'Pekka ordered them to give a book to Merja.'

*(?)\* IHAILLA käski Pekka Merja heidän*  
To.admire ordered Pekka Merja they.gen  
'Pekka ordered them to ADMIRE (and not HATE) Merja.'

I could not proceed without having some principled way of dealing with marginality and marginal sentences.

# A hypothesis

- Could marginality be a language comprehension/parsing issue?
- Hypothesis: Some sentences are "unnatural" for the human parser and therefore perceived as "weird".
- To pursue this agenda we need a model of language comprehension

Assume the simplest model of language comprehension possible

- *John + admires + Mary + ...*  
    ↓                  ↓                  ↓  
• [John]        [admires]        [Mary] ...

One-dimensional PF input guides Merge/Move/Agree, and not just “free will” as in the standard theories

# Add the adjunction operation in its arsenal

**Free word order (Finnish):** arguments are first merged into "tentative" positions based on their surface order, and are reconstructed, based on case features, into the canonical positions as if they were adjuncts/adverbials

**Fixed word order (English):** first positions are also canonical positions (modulo A'/A-reconstruction)

**Marginality** = computational complexity

Step 1. Use the input sentence to guide Merge

*Kirjan + halusi + lainata + Merjalta + Pekka*



Step 2. Promote arguments in wrong positions into adjuncts

[Kirjan [halusi [lainata [Merjalta Pekka]]]]  
book.acc wanted to.borrow from.Merja Pekka.nom

[⟨Kirjan⟩ [halusi [lainata [Merjalta ⟨Pekka⟩]]]]

Step 3. "Float" the arguments to canonical positions

[⟨Kirjan⟩ [halusi [\_\_ lainata \_\_ [Merjalta ⟨Pekka⟩]]]]



To test the idea, the hypothesis was implemented as a computer program (a “parser” that uses Merge/Move/Agree)

```
1: parser-grammar C:\Users\Bruger\Dropbox\parser 149
  ▾
  ► bin
  ► cmdr_mini
  ► config
  ► Documentation
  ► icons
  ► old results
  ► vendor
    ▾ venv
      .gitignore
      Cmdr.exe
  ▾ context.py
    Gold_standard_corpus
  ▾ LanguageGuesser.py
  ▾ LexicalInterface.py
  ▾ lexicon.py
    ▾ interface
    ▾ lex
      lexicon.txt
  ▾ LF.py
    for_LF_interface(features)
  ▾ LF
  ▾ minimalist.py
  ▾ PhraseStructure
    __add__(self, other)
    __getitem__(self, item)
    __init__(self, left_constituent=None, right=None)
    __mul__(self, ps)
    __repr__(self)
    __str__(self)
    check_features(self, feature_set)
    complement(self)
    contains_feature(self, feature)
    copy(self)
    count_specifiers(self)
    detach(left)
  150 |     else:
  151 |         log(' \n\t\t\tConsume "' + lexical_item.get_pf() + '"\n ')
  152 |         log(' \t\t' + ps.illustrate() + ' + ' + lexical_item.get_pf())
  153 |         #
  154 |         #
  155 |
  156 |         # Get the merge sites
  157 |         adjunction_sites = self.ranking(self.filter(ps, lexical_item), lexical_item)
  158 |
  159 |         # Test each licit adjunction site in the order of ranking
  160 |         for i, site in enumerate(adjunction_sites, start=1):
  161 |             ps_ = ps.get_top().copy() # We copy the phrase structure first to create clean branch
  162 |             if site.get_bottom_affix().internal:
  163 |                 log(f' \t\tExploring solution number ({i}) = {site}*{lexical_item.get_pf()}')
  164 |                 site_ = ps_[ps.index(site)]
  165 |                 site_.internal = True
  166 |                 else:
  167 |                     log(f' \t\tExploring solution number ({i}) = {site} * {lexical_item.get_pf()}')
  168 |                     site_ = self.reconstruct(ps_[ps.index(site)])
  169 |                     new_ps = site_.lexical_item.phrase_structure_ranked(index)
  170 |                     if new_ps == ps:
  171 |                         if self.exit:
  172 |                             break
  173 |
  174 |                         #
  175 |                         #
  176 |
  177 |                         if not self.exit:
  178 |                             # All branches for the incoming surface word have been explored
  179 |                             log(f' \t\tI have now explored all solutions for "' + lsr[index] + '"')
  180 |                             log(f' \t\tGoing one step backwards and taking another solution from previous ranking list.....')
  181 |                             '\n\t\t.\n\t\t.\n\t\t.\n\t\t.')
  182 |             return
```

- Source code at <https://github.com/pajubrat/parser-grammar>

# The model understands Finnish seed sentences correctly and efficiently (no backtracking)

- 
- |     |  |   |       |         |          |                                |   |   |  |   |   |   |          |          |          |          |         |          |          |          |         |
|-----|--|---|-------|---------|----------|--------------------------------|---|---|--|---|---|---|----------|----------|----------|----------|---------|----------|----------|----------|---------|
| 1.  | Pekka nukkui                               | [ < D Pekka > :1 [T/fin [< DP > :1 nukku]]]   | Pekka | sleep   | 13.      | Pekka ei nukkunut kuorsaamalla | [ < D Pekka > :5 [ei [< DP > :5 [T [< DP > :5 [nukku < malla kuorsaa > ]]]]]] | Pekka   | not  | sleep   | by  | snoring   |          |          |          |          |         |          |          |          |         |
| 2.  | Pekka ihailee Merjaan                      | [ < D Pekka > :2 [T/fin [< DP > :2 [v [ihailee [D Merja]]]]]]]  | Pekka | admire  | Merja    | 14.                            | Pekka ei hairitsee Merjaan kuorsaamalla                                       | [ < D Pekka > :7 [ei [< DP > :7 [T [< DP > :7 [v [hairitsee [[D Merja] < malla kuorsaa > ]]]]]]]] | Pekka  | not   | disturb   | Merja   | by       | snoring  |          |          |         |          |          |          |         |
| 3.  | Pekka antoi kirjan Merjalle                | [ < D Pekka > :4 [T/fin [< DP > :4 [v [antaa [[D kirja] < P(lle) [D Merja-] > ]]]]]]  | Pekka | give    | book     | to                             | Merja   | 15.   | Pekka ei antanut kirjaan Merjalle heittamalla  | [ < D Pekka > :11 [ei [< DP > :11 [T [< DP > :11 [v [antaa [[D kirja] < P(lle) [D Merja-] > < malla heitta > > ]]]]]]]]   | Pekka   | not   | give     | book     | to       | Merja    | by      | throwing |          |          |         |
| 4.  | Pekka ei nukkunut                          | [ < D Pekka > :1 [ei [< DP > :1 [T [< DP > :1 nukku]]]]]]   | Pekka | not     | sleep    | 16.                            | Pekka ei kaskenyt heidän nukkua   | [ < D Pekka > :7 [ei [< DP > :7 [T [< DP > :7 [käske [[D heidän]:9 [Ainf [(DP):9 nukku]]]]]]]]]   | Pekka  | not   | order   | they.gen  | to       | sleep    |          |          |         |          |          |          |         |
| 5.  | Pekka ei ihailee Merjaan                   | [ < D Pekka > :3 [ei [< DP > :3 [T [< DP > :3 [v [ihailee [D Merja]]]]]]]]]   | Pekka | not     | admire   | Merja                          | 17.   | Pekka ei kaskenyt heidän ihailla Merjaan  | [ < D Pekka > :13 [ei [< DP > :13 [T [< DP > :13 [käske [[D heidän]:15 [Ainf [(DP):15 [v [ihailee [D Merja]]]]]]]]]]]  | Pekka   | not   | order   | they.gen | to       | admire   | Merja    |         |          |          |          |         |
| 6.  | Pekka ei antanut kirjaan Merjalle          | [ < D Pekka > :7 [ei [< DP > :7 [T [< DP > :7 [v [antaa [[D kirja] < P(lle) [D Merja-] > ]]]]]]]]                             | Pekka | not     | give     | book                           | to  | Merja   | 18.  | Pekka ei kaskenyt heidän antaan kirjaan Merjalle  | [ < D Pekka > :25 [ei [< DP > :25 [T [< DP > :25 [käske [[D heidän]:27 [Ainf [(DP):27 [v [antaa [[D kirja] < P(lle) [D Merja-] > ]]]]]]]]]] | Pekka   | not      | order    | they.gen | to       | give    | book     | to       | Merja    |         |
| 7.  | Pekka kaski heidän nukkua                  | [ < D Pekka > :4 [T/fin [< DP > :4 [käske [[D heidän]:5 [Ainf [(DP):5 nukku]]]]]]]  | Pekka | order   | they.gen | to                             | sleep   | 19.   | Pekka kaski heidän nukkua kuorsaamalla   | [ < D Pekka > :12 [T/fin [< DP > :12 [käske [[D heidän]:13 [Ainf [(DP):13 [nukku < malla kuorsaa > ]]]]]]]]               | Pekka   | order   | they.gen | to       | sleep    | by       | snoring |          |          |          |         |
| 8.  | Pekka kaski heidän ihailla Merjaan         | [ < D Pekka > :8 [T/fin [< DP > :8 [käske [[D heidän]:9 [Ainf [(DP):9 [v [ihailee [D Merja]]]]]]]]]]]                         | Pekka | order   | they.gen | to                             | admire  | Merja   | 20.  | Pekka kaski heidän hairita Merjaan kuorsaamalla   | [ < D Pekka > :16 [T/fin [< DP > :16 [käske [[D heidän]:17 [Ainf [(DP):17 [v [hairitsee [[D Merja] < malla kuorsaa > ]]]]]]]]]]             | Pekka   | order    | they.gen | to       | disturb  | Merja   | by       | snoring  |          |         |
| 9.  | Pekka kaski heidän antaan kirjaan Merjalle | [ < D Pekka > :16 [T/fin [< DP > :16 [käske [[D heidän]:17 [Ainf [(DP):17 [v [antaa [[D kirja] < P(lle) [D Merja-] > ]]]]]]]] | Pekka | order   | they.gen | to                             | give  | book  | to   | Merja   | by  | throwing  |          |          |          |          |         |          |          |          |         |
| 10. | Pekka nukkui kuorsaamalla                  | [ < D Pekka > :3 [T/fin [< DP > :3 [nukku < malla kuorsaa > ]]]]  | Pekka | sleep   | by       | snoring                        | 21.   | Pekka kaski heidän antaan kirjaan Merjalle heittamalla  | [ < D Pekka > :24 [T/fin [< DP > :24 [käske [[D heidän]:25 [Ainf [(DP):25 [v [antaa [[D kirja] < P(lle) [D Merja-] > < malla heitta > > ]]]]]]]]]]             | Pekka   | order   | they.gen  | to       | give     | book     | to       | Merja   | by       | throwing |          |         |
| 11. | Pekka hairitsee Merjaan kuorsaamalla       | [ < D Pekka > :4 [T/fin [< DP > :4 [v [hairitsee [[D Merja] < malla kuorsaa > ]]]]]]]]  | Pekka | distrub | Merja    | by                             | snoring   | 22.   | Pekka ei kaskenyt heidän nukkua kuorsaamalla   | [ < D Pekka > :19 [ei [< DP > :19 [T [< DP > :19 [käske [[D heidän]:21 [Ainf [(DP):21 [nukku < malla kuorsaa > ]]]]]]]]]] | Pekka   | not   | order    | they.gen | to       | sleep    | by      | snoring  |          |          |         |
| 12. | Pekka antoi kirjaan Merjalle heittamalla   | [ < D Pekka > :6 [T/fin [< DP > :6 [v [antaa [[D kirja] < P(lle) [D Merja-] > < malla heitta > > ]]]]]]                       | Pekka | give    | book     | to                             | Merja   | by  | throwing   | 23.   | Pekka ei kaskenyt heidän hairita Merjaan kuorsaamalla   | [ < D Pekka > :25 [ei [< DP > :25 [T [< DP > :25 [käske [[D heidän]:27 [Ainf [(DP):27 [v [hairitsee [[D Merja] < malla kuorsaa > ]]]]]]]]]] | Pekka    | not      | order    | they.gen | to      | disturb  | Merja    | by       | snoring |
|     |  |   |       |         |          |                                | 24.   | Pekka ei kaskenyt heidän antaan kirjaan Merjalle heittamalla                                      | [ < D Pekka > :37 [ei [< DP > :37 [T [< DP > :37 [käske [[D heidän]:39 [Ainf [(DP):39 [v [antaa [[D kirja] < P(lle) [D Merja-] > < malla heitta > > ]]]]]]]]]] | Pekka   | not   | order   | they.gen | to       | give     | book     | to      | Merja    | by       | throwing |         |

Does it understand also noncanonical word orders??

- **Stimulus.** All possible word orders from the 24 seed sentences = 119,800 unique Finnish finite clauses
- **Procedure.** Check the output against (blind) native speaker judgment of the same data

847  
848 254. \* antanut Pekka ei kirja Merjalle  
849  
850 255. \* ~~antaa~~ Merjalle heidan Pekka kirjan kaski  
851  
852 256. ?? heidan Merja ihailla kaski Pekka  
853 [[[~~D~~ heidän]:7 [<D Merja>:6 [Ainf [(DP):7 [v [<DP>:6 ihailee]]]]]:11 [T/fin [<DP>:12 [käske (INFP):11]]]]]  
854 'D theirs D to v admire T ask D Pekka .'  
855 Score: -4.0 (Failed: 1, Merge:17, Move: 14 = Ops: 31; Discourse plausibility: -3.0)  
856  
857 257. ?? kaski#foc Pekka kirjan Merjalle heidan antaa  
858 [C [<D Pekka>:21 [T/fin [<DP>:21 [käske [<D kirja>:22 [<P(lle) [D Merja-]>:23 [[D heidän]:24 [Ainf [(DP):24 [  
859 'C/fin D Pekka T ask D book for D Merja D theirs to v give .'  
860 Score: -5.5 (Failed: 4, Merge:41, Move: 102 = Ops: 143; Discourse plausibility: -1.5)  
861  
862 258. (?) kirjan kaski Merjalle heidan antaa Pekka  
863 [<D kirja>:17 [T/fin [<DP>:19 [käske [<P(lle) [D Merja-]>:18 [[D heidän]:20 [Ainf [(DP):20 [v [[<DP>:17 [anta  
864 'D book T ask for D Merja D theirs to v give D Pekka .'  
865 Score: -1.0 (Failed: 0, Merge:18, Move: 41 = Ops: 59; Discourse plausibility: -1.0)  
866  
867 259. \* antaa kirjan kaski heidan Pekka Merjalle  
868  
869 260. \* heidan#foc kirjan Merjalle Pekka antaa kaski  
870  
871 261. \* Merja#foc ihailla heidan Pekka kaski  
872  
873 262. Merjalle antoi kirjan Pekka heittamalla  
874 [<P(lle) [D Merja-]>:10 [T/fin [<DP>:12 [v [<DP>:11 [[antaa [<D kirja>:11 <<D Pekka>:12 <malla heitta>>]] <PP  
875 'for D Merja T v give D book D Pekka by throw .'  
876 Score: 0 (Failed: 0, Merge:15, Move: 32 = Ops: 47; Discourse plausibility: -0)  
877  
878 263. (?) kirjan#foc kaski heidan Pekka antaa Merjalle  
879 [<D kirja>:17 [T/fin [<DP>:18 [käske [[D heidän]:19 [<D Pekka>:18 [Ainf [(DP):19 [v [<DP>:17 [antaa <P(lle) [  
880 'D book T ask D theirs D Pekka to v give for D Merja .'  
881 Score: -1.0 (Failed: 0, Merge:19, Move: 35 = Ops: 54; Discourse plausibility: -1.0)  
882  
883 264. ?(\*) heidan Pekka kirjan Merjalle kaski antaa  
884 [[D heidän]:38 [<D Pekka>:35 [<D kirja>:36 [<P(lle) [D Merja-]>:37 [T/fin [<DP>:35 [käske [(DP):38 [Ainf [(DP  
885 'D theirs D Pekka D book for D Merja T ask to v give .'  
886 Score: -5.0 (Failed: 3, Merge:44, Move: 66 = Ops: 110; Discourse plausibility: -2.0)  
887

<= output summary file

Grammaticality/marginality

Computations performed

Grammatical analysis  
(semantic interpretation)

- Detailed output (log file of the whole derivation, each step)

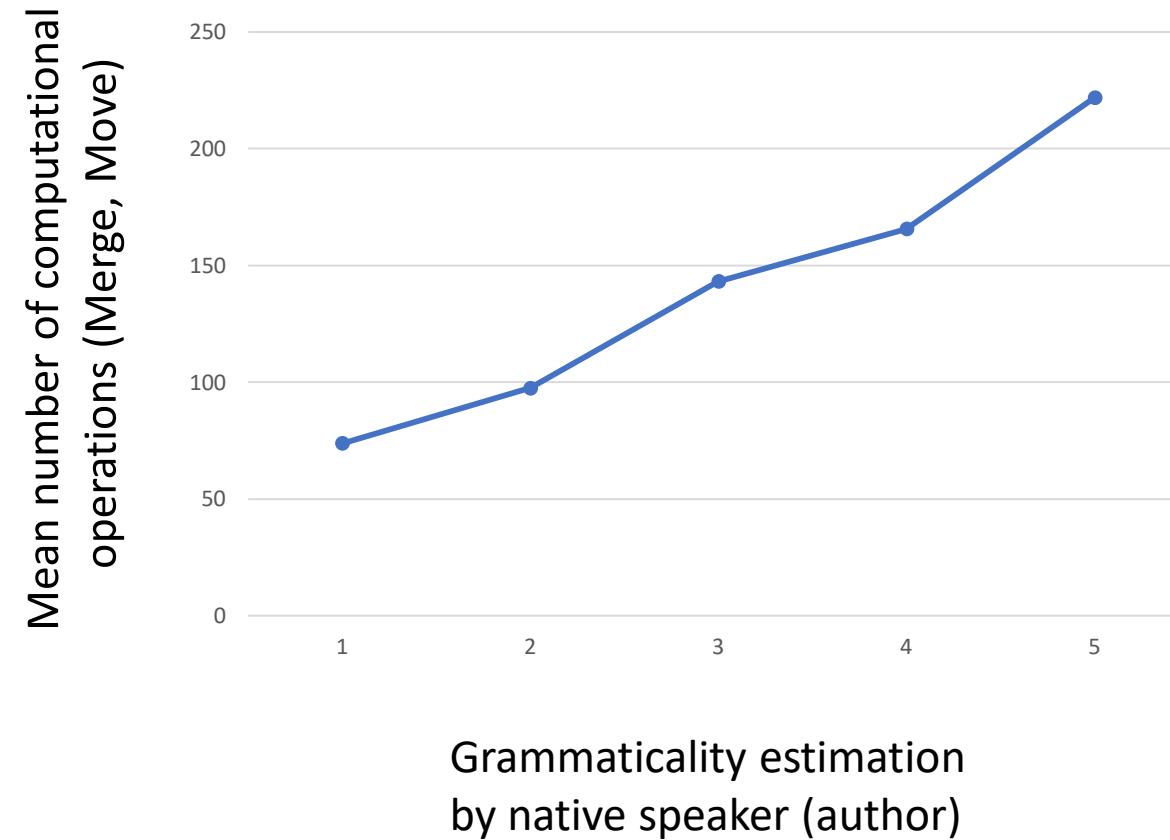
```

28389
28390 9.
28391     Consume "Pekka"
28392
28393     [T{v,V} [[P(lle) [D Merja-]] D]] + Pekka
28394         Filtering out impossible merge sites...
28395         Sink "Pekka" into D because they are inside the same phonological word.
28396
28397     Exploring solution number (1) =[D*Pekka]
28398     =[T{v,V} [[P(lle) [D Merja-]] D{N}]]
28399
28400 10.
28401
28402     Consume "ei"
28403
28404     [T{v,V} [[P(lle) [D Merja-]] D{N}]] + ei
28405         Filtering out impossible merge sites...
28406         Reject [[T [v [[antaa <P(lle) [D Merja-]> [D Pekka]]] <P(lle) [D Merja-]>]] ei] due to b
28407         Reject [[[P [D N] D] ei] as Spec because it breaks words.
28408     Exploring solution number (1) =[D{N} ei]
28409     D was opened.
28410     =[T{v,V} [[P(lle) [D Merja-]] [[D Pekka] ei]]]
28411
28412 11.
28413     Next word contains multiple morphemes ['$par', '$D', 'kirja-']
28414     Storing inflectional feature ['-','LANG:FI','TAIL:!COMP:*, -PHI'] into working memory.
28415
28416     Consume "$D"
28417
28418     =[T{v,V} [[P(lle) [D Merja-]] [[D Pekka] ei]]]
28419
28420 12.
28421
28422     Adding inflectional features {'LANG:FI', '-', 'TAIL:!COMP:*, -PHI'} to D
28423     = ['!COMP:*', '!PROBE:CAT:N', '+PHI', '-', '-COMP:T/fin', '-COMP:uR', '-SPEC:', 'CAT:D', 'COMP:N'
28424
28425     Consume "D"
28426
28427     [T{v,V} [[P(lle) [D Merja-]] [[D Pekka] ei]]] + D
28428         Filtering out impossible merge sites...
28429         Ranking remaining sites...
28430             Avoid TP as SPEC for D due to unselective SPEC feature.
28431             An EPP-head "v" lacks specifier D that it requires.
28432             antaa (antaa) is missing a mandatory complement D
28433             "antaa" has wrong complement [<P(lle) [D Merja-]>:3 [[[D Pekka] ei] <PP:>:3]] [FIN][FIN/NEG]
```

Small part of its "reasoning" when it tries to merge incoming elements into the phrase structure

# Results

Marginality judgments did correlate with complexity  
(garden-pathing)



### EXAMPLE SENTENCES FROM STUDY 3

In the order of marginality, as judged both by native speaker and the model

marginality

| Sentence  | Order        | Native Speaker | Model |
|---|--------------|----------------|-------|
| 24. kuorsaamalla#foc hairitsee Merjaan Pekka      | Adv-V-O-S    | .              | .     |
| 25. ei#foc Pekka ihaile Merjaan                   | n-S-V-O      | .              | .     |
| 32. heidan#foc Pekka kaski antaa Merjalle kirjan  | s-S-V-v-IO-O | .              | .     |
| 48. Pekka kaski heidan ihailla Merjaan            | S-V-s-v-O    | .              | .     |
| 58. heittamalla#foc Pekka antoi kirjan Merjalle   | Adv-S-V-O-IO | .              | .     |
| 22. Pekka kaski heidan kirjan antaa Merjalle      | S-V-s-O-v-IO | ?              | ?     |
| 39. Merjalle#foc kaski heidan Pekka antaa kirjan  | IO-V-s-S-v-O | ?              | ?     |
| 43. kirjan heittamalla Merjalle Pekka antoi       | O-Adv-IO-S-V | ?              | ?     |
| 64. heittamalla#foc Merjalle kirjan antoi Pekka   | Adv-IO-O-V-S | ?              | ?     |
| 89. Pekka heidan Merjalle kaski kirjan antaa      | S-s-IO-V-O-v | ?              | ?     |
| 23. kuorsaamalla Pekka Merjaan hairitsee          | Adv-S-O-V    | ??             | ??    |
| 49. Pekka#foc kirjan heidan Merjalle kaski antaa  | S-O-s-IO-V-v | ??             | ??    |
| 79. Merjaan#foc heidan ihailla kaski Pekka        | O-s-v-V-S    | ??             | ??    |
| 132. heidan Merjalle Pekka kirjan kaski antaa     | s-IO-S-O-V-v | ??             | ??    |
| 214. Merjalle kirjan Pekka antoi                  | IO-O-S-V     | ??             | ??    |
| 232. antaa#foc heidan Pekka Merjalle kaski kirjan | v-s-S-IO-V-O | ?*             | ?*    |
| 273. heidan kirjan Merjalle antaa kaski Pekka     | s-O-IO-v-V-S | ?*             | ?*    |
| 630. antanut#foc kirjaan Pekka Merjalle ei        | V-O-S-IO-n   | ?*             | ?*    |
| 705. heidan Merjaan ihailla Pekka kaski           | s-O-v-S-V    | ?*             | ?*    |
| 710. Pekka kirjan Merjalle heidan kaski antaa S   | -O-IO-s-V-v  | ?*             | ?*    |
| 2. kirjan#foc Pekka antaa Merjalle kaski heidan   | O-S-v-IO-V-s | *              | *     |
| 5. Pekka antaa kaski kirjan Merjalle heidan       | S-v-V-O-IO-s | *              | *     |
| 6. antaa kirjan kaski Merjalle Pekka heidan       | v-O-V-IO-S-s | *              | *     |
| 8. heidan#foc Pekka antaa Merjalle kaski kirjan   | s-S-v-IO-V-O | *              | *     |
| 9. Pekka#foc kirjan Merjalle kaski antaa heidan   | S-O-IO-v-s   | *              | *     |

#### Symbols used

V = main verb

O = direct object

Adv = adverbial

v = infinitival verb

#foc = contrastive focus prosody

S = grammatical subject

IO = indirect object

s = infinitival thematic subject

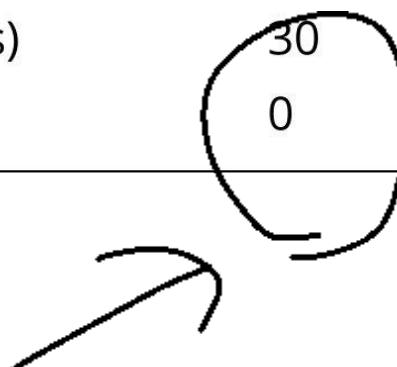
n = negation (preverbal in canonical Finnish)

X = contrastively focused

## PREDICTION ERROR

In a sample of 1,000 sentences taken from the whole corpus

| <b>Group</b> | <b>Explanation</b>                                      | <b>Number</b> | <b>%</b> |
|--------------|---|---------------|----------|
| A            | Grammatical sentences analyzed wrongly as ungrammatical | 125           | (12,5)   |
| B            | Ungrammatical sentences analyzed wrongly as grammatical | 25            | (2,5)    |
| C            | Wrong marginality estimation (adjacent categories)      | 44            | (4,4)    |
| D            | Wrong marginality estimation (non-adjacent categories)  | 30            | (3,0)    |
| E            | Wrong parsing output                                    | 0             | (0,0)    |



# Output in English (argument cannot be floated like adjuncts)

---

|                             |           |                             |           |
|-----------------------------|-----------|-----------------------------|-----------|
| John sleeps                 | S-V       | *John to Mary the book gave | *S-IO-O-V |
| *sleeps John                | *V-S      | *Gave John the book to Mary | *V-S-O-IO |
| John likes Mary             | S-V-O     | *Gave John to Mary the book | *V S-IO-O |
| *John Mary likes            | *S-O-V    | *Gave the book to Mary John | *V-O-IO-S |
| *Likes John Mary            | *V-S-O    | *Gave to Mary John the book | *V-IO-S-O |
| *Likes Mary John            | *V-O-S    | *The book John gave to Mary | *O-S-V-IO |
| *Mary John likes            | *O-S-V    | *To Mary John gave the book | *IO-S-V-O |
| Mary likes John             | S-V-O     | *To Mary the book John gave | *IO-O-S-V |
| John gave the book to Mary  | S-V-O-IO  | *To Mary the book gave John | *IO-O-V-S |
| *John the book gave to Mary | *S-O-V-IO | Mary gave the book to John  | S-V-O-IO  |
| *John the book to Mary gave | *S-O-IO-V |                             |           |

[The book to John] gave Mary S-V-O

[The book to Mary] gave John S-V-O

---

# Same sentences in Finnish, most word orders correctly judged as grammatical and parsed correctly

- 
1. *Pekka nukkui (S-V)*
  2. *\*Nukkui Pekka (\*V-S)*
  3. *Pekka ihailee Merjaa (S-V-O)*
  4. *(?)Pekka Merjaa ihailee (?S-O-V)*
  5. *\*Ihailee Pekka Merjaa (\*V-S-O)*
  6. *\*Ihailee Merjaa Pekka (\*V-O-S)*
  7. *(?)Merjaa Pekka ihailee (?O-S-V)*
  8. *Merjaa ihailee Pekka (O-V-S)*
  9. *Pekka antoi kirjan Merjalle (S-V-O-IO)*
  10. *Pekka antoi Merjalle kirjan (S-V-IO-O)*
  11. *(?) Pekka kirjan antoi Merjalle (?S-O-V-IO)*
  12. *? Pekka kirjan Merjalle antoi (?S-O-IO-V)*
  13. *(?) Pekka Merjalle antoi kirjan (?S-IO-V-O)*
  14. *? Pekka Merjalle kirjan antoi (?S-IO-O-V)*
  15. *\*Antoi Pekka kirjan Merjalle (\*V-S-O-IO)*
  16. *\*Antoi Pekka Merjalle kirjan (\*V-S-IO-O)*
  17. *\*Antoi kirjan Pekka Merjalle (\*V-O-S-IO)*
  18. *\*Antoi kirjan Merjalle Pekka (\*V-O-IO-S)*
  19. *\*Antoi Merjalle Pekka kirjan (\*V-IO-S-O)*
  20. *\*Antoi Merjalle kirjan Pekka (\*V-IO-O-S)*
  21. *(?) Kirjan Pekka antoi Merjalle (?O-S-V-IO)*
  22. *? Kirjan Pekka Merjalle antoi (? O-S-IO-V)*
  23. *Kirjan antoi Pekka Merjalle (O-V-S-IO)*
  24. *Kirjan antoi Merjalle Pekka (O-V-IO-S)*
  25. *? Kirjan Merjalle Pekka antoi (?O-IO-S-V)*
  26. *(?) Kirjan Merjalle antoi Pekka (?O-IO-V-S)*
  27. *(?) Merjalle Pekka antoi kirjan (?IO-S-V-O)*
  28. *?? Merjalle Pekka kirjan antoi (??IO-S-O-V)*
  29. *Merjalle antoi Pekka kirjan (IO-V-S-O)*
  30. *Merjalle antoi kirjan Pekka (IO-V-O-S)*
  31. *?? Merjalle kirjan Pekka antoi (??IO-O-S-V)*
  32. *(?) Merjalle kirjan antoi Pekka (?IO-O-V-S)*
- 
1. [*< D Pekka > :1 [T/fin [< DP > :1 nukku]]] (0)*
  3. [*< D Pekka > :1 [T/fin [< DP > :1 [v [ihailee [D Merjaa]]]]]](0)*
  4. [*< D Pekka > :1 [< D Merjaa > :2 [T/fin [< DP > :1 [v [< DP > :2 ihailee]]]]]](0)*
  7. [*< D Merjaa > :1 [< D Pekka > :2 [T/fin [< DP > :2 [v [< DP > :1 ihailee]]]]]](0)*
  8. [*< D Merjaa > :1 [T/fin [< DP > :2 [v [< DP > :1 [ihailee < D Pekka > :2]]]]]](0)*
  9. [*< D Pekka > :1 [T/fin [< DP > :1 [v [antaa [[D kirja] < P(lle) [D Merja-] > ]]]]](0)*
  10. [*< D Pekka > :1 [T/fin [< DP > :1 [v [[antaa [< P(lle) [D Merja-] > :2 [D kirja]] < PP > :2]]]]](0)*
  11. [*< D Pekka > :1 [< D kirja > :2 [T/fin [< DP > :1 [v [< DP > :2 [antaa < P(lle) [D Merja-] > ]]]]](0)*
  12. [*< D Pekka > :1 [< D kirja > :2 [< P(lle) [D Merja-] > :3 [T/fin [< DP > :1 [v [[< DP > :2 antaa] < PP > :3]]]]]](1)*
  13. [*< D Pekka > :1 [< P(lle) [D Merja-] > :2 [T/fin [< DP > :1 [v [[antaa [D kirja]] < PP > :2]]]]]](0)*
  14. [*< D Pekka > :1 [< P(lle) [D Merja-] > :2 [< D kirja > :3 [T/fin [< DP > :1 [v [< DP > :3 [antaa < PP > :2]]]]]](0)*
  21. [*< D kirja > :1 [< D Pekka > :2 [T/fin [< DP > :2 [v [< DP > :1 [antaa < P(lle) [D Merja-] > ]]]]]]](0)*
  22. [*< D kirja > :1 [< D Pekka > :2 [< P(lle) [D Merja-] > :3 [T/fin [< DP > :2 [v [[< DP > :1 antaa] < PP > :3]]]]]](1)*
  23. [*< D kirja > :1 [T/fin [< DP > :2 [v [< DP > :1 [antaa < < D Pekka > :2 < P(lle) [D Merja-] > > ]]]]](0)*
  24. [*< D kirja > :1 [T/fin [< DP > :2 [v [< DP > :1 [antaa < < P(lle) [D Merja-]> < D Pekka > :2 > ]]]]](0)*
  25. [*< D kirja > :1 [< P(lle) [D Merja-] > :2 [< D Pekka > :3 [T/fin [< DP > :3 [v [[< DP > :1 antaa] < PP > :2]]]]]](0)*
  26. [*< D kirja > :1 [< P(lle) [D Merja-] > :2 [T/fin [< DP > :3 [v [[< DP > :1 [antaa < D Pekka > :3]] < PP > :2]]]]]](0)*
  27. [*< P(lle) [D Merja-] > :1 [< D Pekka > :2 [T/fin [< DP > :2 [v [[antaa [D kirja]] < PP > :1]]]]]](0)*
  28. [*< P(lle) [D Merja-] > :1 [< D Pekka > :2 [< D kirja > :3 [T/fin [< DP > :2 [v [< DP > :3 [antaa < PP > :1]]]]]](2)*
  29. [*< P(lle) [D Merja-] > :1 [T/fin [< DP > :2 [v [[antaa [< D Pekka > :2 [D kirja]]] < PP > :1]]]]](0)*
  30. [*< P(lle) [D Merja-] > :1 [T/fin [< DP > :2 [v [[antaa [[D kirja] < D Pekka > :2]] < PP > :1]]]]](0)*
  31. [*< P(lle) [D Merja-] > :1 [< D kirja > :2 [< D Pekka > :3 [T/fin [< DP > :3 [v [< DP > :2 [antaa < PP > :1]]]]]](2)*
  32. [*< P(lle) [D Merja-] > :1 [< D kirja > :2 [T/fin [< DP > :3 [v [< DP > :2 [[antaa < D Pekka > :3] < PP > :1]]]]](0)*
-

# General conclusions

1. Finnish word order phenomenon can be explained by a minimalist top-down/parser-friendly theory of language comprehension (Phillips, 1996; Chesi 2004, ...)
2. Also marginality can be predicted in a principled way, its not a mysterious free parameter
3. We propose that
  1. In free word languages, thematic arguments can be attached to the phrase structure syntactically as adjuncts/adverbs; in fixed word order languages (English, Italian), this is not possible
  2. The extra adjunct floating operation is licensed by rich morphosyntax, so that both morphosyntax and order encode hierarchy
  3. Marginality is explained (in part) by the “unnaturalness” of the input in language comprehension

# Thank you!

Special thanks to Jukka Purma for helping with programming

Specific issues related to Finnish syntax

# List of seed sentences used in the experimer

## Group 1. Declarative clauses

*Pekka nukkui*  
'Pekka slept.'

*Pekka ihailee Merja*  
'Pekka admires Merja.'

*Pekka antoi kirjan Merjalle*  
'Pekka gave a book to Merja.'

## Group 2. Negative clauses

*Pekka ei nukkunut*  
'Pekka did not sleep.'

*Pekka ei ihaile Merja*  
'Pekka does not admire Merja.'

*Pekka ei antanut kirja Merjalle*  
'Pekka did not give the book to Merja.'

## Group 3. Embedded infinitivals

*Pekka käski heidan nukkua*  
'Pekka asked them to sleep.'

*Pekka käski heidan ihailla Merja*  
'Pekka asked them to admire Merja.'

*Pekka käski heidan antaa kirjan Merjalle*  
'Pekka asked them to give the book to Merja.'

## Group 4. Adverbial

*Pekka nukkui kuorsaamalla*  
'Pekka slept while snoring.'

*Pekka häiritsee Merja kuorsaamalla*  
'Pekka disturbs Merja by snoring.'

*Pekka antoi kirjan Merjalle heittämällä*  
'Pekka gave the book to Merja by throwing.'

## Group 5. Negation and adverbial

*Pekka ei nukkunut kuorsaamalla*  
'Pekka did not sleep (by) snowing.'

*Pekka ei häiritse Merja kuorsaamalla*  
'Pekka does not disturb Merja by snoring.'

*Pekka ei antanut kirja Merjalle heittämällä*  
'Pekka did not give the book to Merja by throwing.'

## Group 6. Negated infinitival embedding

*Pekka ei käskenyt heidan nukkua*  
'Pekka did not ask them to sleep.'

*Pekka ei käskenyt heidän ihailla Merja*  
'Pekka did not ask them to admire Merja.'

*Pekka ei käskenyt heidän antaa kirja Merjalle*  
'Pekka did not ask them to give the book to Merja.'

## Group 7. Infinitival embedding with adverbial

*Pekka käski heidän nukkua kuorsaamalla*  
'Pekka asked them to sleep (by) snoring.'

*Pekka käski heidän hairita Merja kuorsaamalla*  
'Pekka asked them to disturb Merja by snoring.'

*Pekka käski heidän antaa kirjan Merjalle heittämällä*  
'Pekka asked them to give the book to Merja by throwing.'

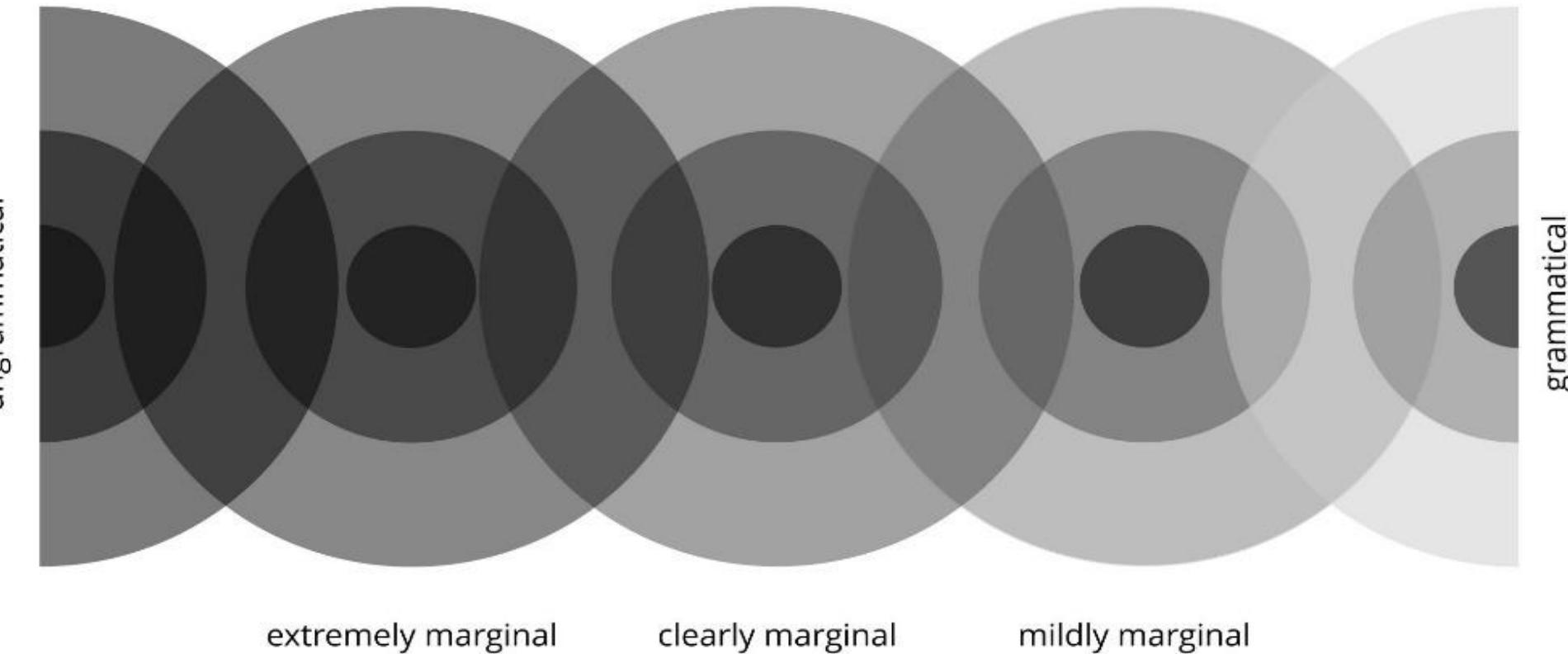
## Group 8. Negated infinitival embedding with adverbial

*Pekka ei käskenyt heidän nukkua kuorsaamalla*  
'Pekka did not ask them to sleep by snoring.'

*Pekka ei käskenyt heidän hairita Merja kuorsaamalla*  
'Pekka did not ask them to disturb Merja by snoring.'

*Pekka ei käskenyt heidän antaa kirja Merjalle heittämällä*  
'Pekka did not ask them to give the book to Merja by throwing.'

Grammaticality/marginality judgments were based on the prototype model



# Empirical phenomena covered in the model

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## A LINGUISTIC MAP TO THE MAIN CORPUS RESULT FILE

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| <b>Phenomenon</b>                        | <b>Location(s) in the output (#)</b>                              |
|--|---|
| Ban on verb-initial clauses/EPP          | 3/4, 9/10, 29/30  |
| SVO vs OVS order                         | 17/45   |
| Multitopic constructions                 | 21-27, 113  |
| Multiple topics over Neg                 | 157, 158, 161, 163, 169   |
| T-to-C movement                          | 32, 70, 92, 98, 174   |
| Nonlocal head movement to C              | 74, 76, 102, 106  |
| Nonlocal head movement and OVS inversion | 112   |
| “Null head movement”                     | 121-124, 137-148, 391-292   |
| Multiple infinitival (-fin) topics       | 131   |
| Topicalized infinitival phrase           | 225, 229, 245, 400, 431, 541                                      |
| Limited movement of genitives            | 366-368, 373-378, 437-448   |
| Parsing of an adverbial                  | 2093, 2095, 2101, 2105, 2107, 2111, 2113, 2141<br>2149, 2153-2392 |
| Examples of extremely unnatural orders   | 225, 303, 325, 543, 717, 727, 811, 812, 839, 1957                 |

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# The mysterious property of null head movement

- The experiment revealed that I systematically accept sentences in which (it looks) a head has moved to an invisible/ unmarked/ mysterious "null head"
- ?\**Pekka antanut<sub>1</sub> ei \_\_<sub>1</sub> kirja Merjalle.*  
Pekka give not book to.Merja  
? neg/Fin
- The model was not able to do this, hence it classified this type of sentences (wrongly) as ungrammatical (Category A prediction errors). It would be disastrous if we allow the model to reconstruct "null movement".
- I have no idea of what's going on here

# Floating of genitive arguments

- To get the correct output, it had to be assumed that all other arguments except those that had the genitive Case could be floated; genitive arguments were treated like the frozen arguments in English
  - Pekka antoi *heidän* lähteä.  
Pekka let they.gen to.leave  
'Pekka let them leave.'
  - \*Pekka antoi \_\_ lähteä *heidän*.  
Pekka let to.leave they.gen

I found a systematic class of sentences of which I cannot determine if they are extremely marginal or ungrammatical. I suspect that there are two types of ungrammaticality, based on "violation of a grammatical principle" and "extremely unnatural"

- *Merjalle heittamalla kirjaan heidan antaa Pekka ei kaskenyt* (IO-Adv-O-s-v-S-n-V); *Pekka heidan ei kirjaan antaa heittamalla kaskenyt Merjalle* (S-s-n-O-v-Adv-V-IO); *Pekka kaski antaa heittamalla kirjan heidan Merjalle* (S-V-v-Adv-O-s-IO); *antaa#foc Merjalle Pekka heidan ei kirjaan heittamalla kaskenyt* (V-IO-S-s-n-O-Adv-V); *ei#foc Merjalle heittamalla antaa heidan Pekka kirjaan kaskenyt* (n-IO-Adv-v-s-S-O-V); *ei#foc Pekka kaskenyt antaa Merjalle heidan heittamalla kirjaan* (n-S-V-v-IO-s-Adv-O); *hairita#foc kaskenyt Pekka heidan Merjaan ei kuorsaamalla* (v-V-S-s-O-n-Adv); *heidan#foc Pekka kirjan antaa heittamalla kaski Merjalle* (s-S-O-v-Adv-V-IO); *heidan#foc heittamalla antaa kirjan Merjalle Pekka kaski* (s-Adv-v-O-IO-s-V); *heittamalla ei kaskenyt kirjaan Merjalle Pekka heidan antaa* (Adv-n-V-O-IO-S-s-v); *heittamalla heidan ei kaskenyt kirjaan Merjalle antaa Pekka* (Adv-s-n-V-O-IO-v-S); *heittamalla kirjaan ei kaskenyt Merjalle heidan Pekka antaa* (Adv-O-n-V-IO-s-S-v); *kaskenyt#foc heidan ei kuorsaamalla Pekka Merjaan hairita* (V-s-n-Adv-S-O-v); *kirjaan ei heidan antaa Merjalle Pekka kaskenyt heittamalla* (O-n-s-v-IO-S-V-Adv); *kirjaan#foc antaa Merjalle heidan heittamalla Pekka ei kaskenyt* (O-v-IO-s-Adv-S-n-V); *kuorsaamalla#foc heidan Pekka kaskenyt Merjaan ei hairita* (Adv-s-S-V-O-n-v).

Class B prediction error consisted of sentences in which the parser was “too good” in comparision to the human gold standard

- There were two types of sentences:
  - A. Nonlocal head movement, trivial for the model but not for me!
    - \**KÄSKENYT kirjaan Pekka ei \_\_ Merjalle heittämällä heidän antaa.*  
OREDERD book.par Pekka.nom not \_\_ to.Merja by-throwing them to.give
  - B. Too many topics/preverbal phrases, trivial for the model but not for me!
    - \**Kirjan Merjalle heittämällä heidän antaa Pekka käski*  
book to.Merja by.throwing they.gen to.give Pekka.nom ordered